

(Letter)

Public Inspection Regarding Specially Permitted Businesses for Qualified Institutional Investors, etc.

Date: 07/21/2016

Applicant: Address: Principal Place of Business
c/o KLS Diversified Asset Management
LP
452 Fifth Avenue, 22nd Floor
New York NY 10018
United States of America

Phone: 212-905-0800

Name of Business: KLS Diversified Fund (Asia) GP LLC
Michael Zarrilli, Chief Operating Officer &
Partner

Name of Applicant:

1. Status of the person who has submitted an application regarding Specially Permitted Businesses for Qualified Institutional Investors, etc. (SPBQII)

(As of 07/21/2016)

Representative		Business category		Principal business office			URL of website	Type of other business to be conducted by person to notify	Amount of capital or total contribution
Name of Applicant	Title	Private placement	Investment management	Name	Address	Phone			
Michael Zarrilli	Chief Operating Officer & Partner	○	○	Principal Place of Business	c/o KLS Diversified Asset Management LP 452 Fifth Avenue, 22nd Floor New York NY 10018 United States of	212-905-0800	https://www.klsdiversified.com	Not Applicable	US \$1.00

(Note)

1. In the "Business category" section: If the person to notify conducts a business related to acts specified in FIEA Article 63(1)(i) , put a circle ("○") in the "Private placement" column; if the person to notify conducts a business related to acts specified in (ii) of the same Act paragraph, put a circle ("○") in the "Investment management" column.
2. The "Representative" and "Amount of capital or total contribution" columns must be entered if the applicant is a juridical person.
3. A person who has changed his/her surname due to marriage can enter his/her surname before marriage next to the current full name in parentheses.

3. Officers and employees specified under a Cabinet Order

(As of 07/21/2016)

Personal or company name:	Organizational position	Type of the employee specified by a Cabinet Order
Jeffrey Kronthal	Co-Chief Investment Officer, Managing	
Harry Lengsfield	Co-Chief Investment Officer, Managing	
John Steinhardt	Co-Chief Investment Officer, Managing	
Michael Zarrilli	Chief Operating Officer & Partner	
Nargis A. Hilal	Chief Compliance Officer	Person who overheads operations related to providing guidance on

4. Status of the sales branch or business office where SPBQII is operated

(As of 07/21/2016)

Name	Location	Phone
Principal Place of Business	c/o KLS Diversified Asset Management LP 452 Fifth Avenue, 22nd Floor	212-905-0800